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FACSIMILE TRANSMITTAL SHEET

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| TO: | FROM: |
| Examiner A. Dunwoody | Harry Macey |
| COMPANY: | DATE: |
| United States Patent and Trademark Office | 10/30/03 |
| FAX NUMBER: | TOTAL NO. OF PAGES INCLUDING COVER: |
| 703-872-9326 | 39 |
| PHONE NUMBER: | SENDER'S REFERENCE NUMBER: |
| 703-306-3436 | PEG-2001CP1 |
| RE: | YOUR REFERENCE NUMBER: |
| Supplemental Information Disclosure Statement and Substitute Form 1449A for USSN 09/753,122 | |

URGENT FOR REVIEW PLEASE COMMENT PLEASE REPLY PLEASE RECYCLE

PLEASE DELIVER TO EXAMINER DUNWOODY
GROUP ART UNIT 3679

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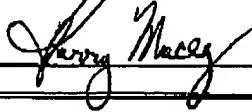
1301 SHOREWAY ROAD, SUITE 121
BELMONT, CA 94002-4106
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PATENT
Attorney Docket No.: PEG-2001CP1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF TRANSMISSION BY "FACSIMILE"

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Harry Macey

In re Application of:

Proctor, et al.

Serial No.: 09/753,122

Filing Date: December 29, 2000

Title: DUCT JOINING SYSTEM

Examiner: A. Dunwoody

Group Art Unit: 3679

TRANSMITTAL

Commissioner for Patents
Alexandria, VA 22313

Sir:

Transmitted herewith are the following:

1. Supplemental Information Disclosure Statement (2 pgs)
2. Substitute Form 1449A (1 pg) and a copy of all cited references

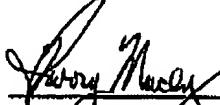
Authorization to charge the _____ is provided on the _____. A duplicate copy of this sheet is enclosed.

The Commissioner is hereby authorized to charge \$180.00 for the Supplemental Information Disclosure Statement to Deposit Account No. 50-1947 referencing Attorney Docket No. PEG-2001CP1. A duplicate copy of this sheet is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 50-1947, referencing Attorney Docket No. PEG-2001CP1.

Respectfully submitted,

Date: October 30, 2003

By: 
Harry J. Macey
Registration No. 32,818
Attorney for Applicant

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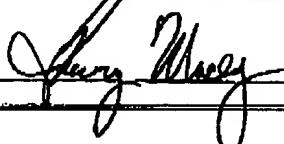
PATENT
Attorney Docket No.: PEG-2001CP1

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Harry Macey

In re Application of:

Proctor, et al.

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Commissioner for Patents
Alexandria, VA 22313

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicant submits for consideration in the above-identified application the documents listed on the attached Substitute Form 1449A. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

- Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
- A fee is required. Authorization to charge the undersigned's deposit account \$180.00 is attached.

11/06/2003 EDANTZLE 0000003 501947 09753122

01 FC:1806 180.00 DA

- A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided below.

Applicant would appreciate the Examiner initialing and returning the Substitute Form 1449A, indicating that the information has been considered and made of record herein.

This Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-1947 referencing Attorney Docket No. PEG-2001CP1. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

By: 
 Harry J. Macey
 Registration No. 32,818
 Attorney for Applicant

Date: October 30, 2003

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PTO/SB/08A (08-02)

Approved for use through 10/31/2002. OMB 0651-0031
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|---|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | Complete if Known | |
| Sheet | 1 | of | 1 | Application Number | 09/753,122 |
| | | | | Filing Date | December 28, 2000 |
| | | | | First Named Inventor | John P. Proctor |
| | | | | Group Art Unit | 3679 |
| | | | | Examiner Name | A. Dunwoody |
| | | | | Attorney Docket Number | PEG-2001CP1 |

103003

U.S. PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | U.S. Patent Documents | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------------------|-----------------------|-----------------------|--------------------------------------|--|--|---|
| | | Number | Kind Code ² (if known) | | | |
| 1. | 3,445,120 | | | Barr | 06/20/1969 | |
| 2. | 5,988,695 | | | Corbett, Jr. | 11/23/1999 | |
| 3. | 6,113,165 | | | Beirens | 09/05/2000 | |

FOREIGN PATENT DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Documents | | | Name of Patentee or Applicant of Cited Documents | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ² |
|--------------------------------|-----------------------|--------------------------|---------------------|--------------------------------------|--|--|---|----------------|
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | |
| 4. | NO | 106715 | | | | 05/22/1965 | | |
| 5. | DE | 147961 | | | | 04/29/1981 | | Ab- stract |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials ¹ | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|--------------------------------|-----------------------|---|----------------|
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| | |
|--------------------|-----------------|
| Examiner Signature | Date Considered |
|--------------------|-----------------|

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

²Unique citation designation number. ³Applicant is to place a check mark here if English language Translation is attached.

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